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Foreword

This book contains the key legislation under the financial markets conduct regime and includes:

- Financial Markets Conduct Act 2013 and Financial Markets Conduct Regulations 2014
- Financial Markets Authority Act 2011
- Financial Advisers Act 2008
- Financial Service Providers (Registration and Dispute) Resolution Act 2008
- Financial Markets Supervisors Act 2011, and
- Anti-Money Laundering and Countering Financing of Terrorism Act 2009.

The Financial Markets Conduct Regulations 2014 include the amendments made by the Financial Markets Amendment Regulations 2015.

Consolidation date

The statutes have been consolidated to include all amendments made before 1 January 2016. A cross-check with the publisher's updating service *New Zealand Company Law and Practice* (available in online and loose-leaf formats) will reveal changes or proposed changes to the legislation after that date.

Finding aids

There are two ways of locating provisions in the legislation. First, a detailed Index to the legislation can be found at the back of the book. Second, there is a Table of Sections at the beginning of each Act. The Tables of Sections are updated by CCH as sections are added, amended or omitted by amending Acts.

An alphabetical list of all legislation is located at the front of the book after the foreword.

Amendments made to legislation

A summary of amendments can be found under each group of statutes and regulations in this book.

A history note under each provision of the Act or regulation that has been amended records how the provision was amended, the number of the amending Act, the date the amendment came into force, and the pre-amendment wording of that provision.

When an amendment is not yet in force, the pending version has been inserted above the current provision in italics.